

# Advisor OMS®

Straight-through processing for equity and ETF trades

Advisor Rebalancing allows for straight-through processing of equity and ETF trades directly from the Advisor Rebalancing application using FIX connectivity. You can further streamline your trading workflows by routing and tracking trades from creation through settlement while benefiting from the full functionality of Advisor Rebalancing.

The screenshot shows the Advisor Rebalancing OMS interface. At the top, it displays the current trade date as 2/1/2012 and prices as of 1/31/2012. The user is signed in as Andy Boedecker. The main area shows a table of trade orders with the following columns: Status, Broker, Ticker, Account Number, Action, Order Qty, Order Type, Order ID, Fill Qty, Average Price, and Allocation Status. The table lists several buy orders for various tickers (DBC, EFG, IVV, IWF, IWM, IWR, CEF) under the Schwab broker account. A blue callout box is overlaid on the bottom right of the screenshot.

Status	Broker	Ticker	Account Number	Action	Order Qty	Order Type	Order ID	Fill Qty	Average Price	Allocation Status
Totals					16,517			1137		
New	Schwab				380			0		
		DBC	12345678	Buy	70	Market		0		
		EFG	12345678	Buy	10	Market		0		
		IVV	12345678	Buy	50	Market		0		
		IWF	12345678	Buy	100	Market		0		
		IWM	12345678	Buy	10	Market		0		
		IWR	12345678	Buy	20	Market		0		
		CEF	12345678	Buy	100	Market		0		

Select to send your ETF and equity trades for straight-through processing – directly from the trading workflow of the rebalancing system